Sheet 1 of 7 Docket Number (Optional) Form PTO-1449 **Application Number** 3833.9US Not yet assigned INFORMATION DISCLOSURE CITATION Applicant Fallaux et al. IN AN APPLICATION (Use several sheets if necessary) Filing Date July 11, 2003 Group Art Unit Unknown **U.S. PATENT DOCUMENTS** EXAMINER FILING DATE DOCUMENT NUMBER DATE CLASS **SUBCLASS** INITIAL IF APPROPRIATE #4,405,712 09/20/83 Vande Woude et al. #4,497,796 02/05/85 Salser et al. #4,727,028 Santerre et al. 02/23/88 #4,740,463 04/26/88 Weinberg et al. #5,190,931 03/02/93 Inouye #5,208,149 05/04/93 Inouye #5,378,618 01/03/95 Sternberg et al. #5,518,913 05/21/96 Massie et al. #5,545,522 08/13/96 Van Gelder et al. #5,652,224 07/29/97 Wilson et al. #5,670,488 09/23/97 Gregory et al. FOREIGN PATENT DOCUMENTS Translation DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS NO #2,053,187 04/11/93 Canada #WO 94/08026 04/14/94 **PCT** #WO 94/11506 05/26/94 PCT #WO 94/12649 06/09/94 **PCT** #WO 94/23582 10/27/94 PCT OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) #Amalfitano et al., "Improved adenovirus packaging cell lines to support the growth of replication-defective gene-delivery vectors", Proc. Natl. Acad. Sci. USA, 93:3352-3356, April 1996. #Amalfitano et al., "Isolation and characterization of packaging cell lines that coexpress the adenovirus E1, DNA polymerase, and preterminal proteins: implications for gene therapy", Gene Therapy, 4:258-263, 1997. #Armentano et al., "Characterization of an Adenovirus Gene Transfer Vector Containing an E4 Deletion", Human Gene Therapy, 6:1343-1353, October 1995. **EXAMINER DATE CONSIDERED** 1/129/04 EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Sheet <u>2</u> of <u>7</u> Docket Number (Optional) Form PTO-1449 **Application Number** 3833.9US Not yet assigned INFORMATION DISCLOSURE CITATION Applicant Fallaux tal. IN AN APPLICATION (Use several sheets if necessary) Group Art Unit Unknown Filing Date July 11, 2003 **U.S. PATENT DOCUMENTS** EXAMINER FILING DATE IF APPROPRIATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS INITIAL (01 #5,707,618 01/13/98 Armentano et al. #5,753,500 05/19/98 Shenk et al. #5,837,511 11/17/98 Falck-Pedersen et al. #5,851,806 12/98 Kovesdi et al. #5,891,690 04/99 Massie #5,919,676 07/99 Graham et al. #5,994,106 11/30/99 Kovesdi et al. #5,994,128 11/30/99 Fallaux et al. #6,033,908 03/07/2000 Bout et al. #6,040,174 03/21/2000 Imler et al. #6,203,975 03/2001 Wilson 6,265,212 07/24/2001 Fallaux et al. FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS #WO 94/24297 10/27/94 **PCT** #WO 94/26914 11/24/94 PCT #WO 94/28152 12/08/94 PCT #WO 94/28938 12/22/94 PCT **OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.) #Bernards, Rene, et al., "Characterization of Cells Transformed by Ad5/Ad12 Hybrid Early Region I Plasmids", Virology ,120:422-432, 1982. #Bernards, Rene, et al., "Role of Adenovius Types 5 and 12 Early Region 1b Tumor Antigens in Oncogenic Transformation", Virology, 127:45-53, 1983. #Brough et al.,"A Gene Transfer Vector-Cell Line System for Complete Functional Complementation of Adenovirus Early Regions E1 and E4", Journal of Virology, 70(9):6497-6501. September 1996. **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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#Pursuant to 37 C.F.R. § 1.98(d), copies of the previously identified patents are not being provided since they were previously cited by or submitted to the Office in the following prior applications:

Serial No.: 10/219,414 Filed: 8/15/2002

For: STOCKS OF REPLICATION DEFICIENT ADENOVIRUS, which application is being relied upon for an earlier filing date under 35 U.S.C. § 120.